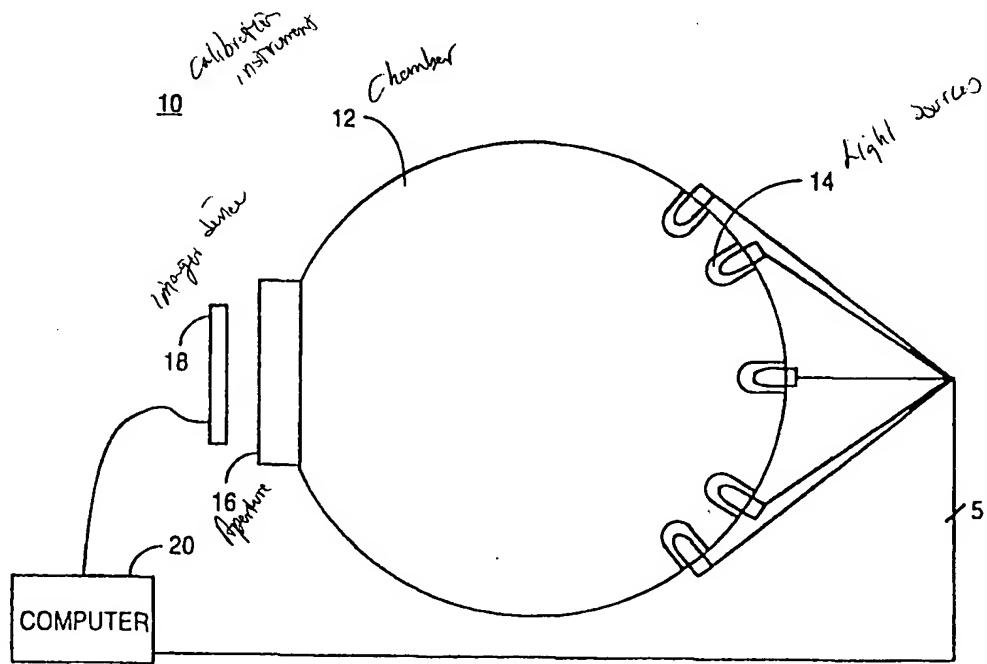




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :	A1	(11) International Publication Number: WO 99/67738 (43) International Publication Date: 29 December 1999 (29.12.99)
G06K 9/00		
(21) International Application Number:	PCT/US99/13163	(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
(22) International Filing Date:	10 June 1999 (10.06.99)	
(30) Priority Data:	09/102,875 23 June 1998 (23.06.98) US	
(71) Applicant (for all designated States except US):	INTEL CORPORATION [US/US]; 2200 Mission College Boulevard, Santa Clara, CA 95052 (US).	
(72) Inventors; and		
(75) Inventors/Applicants (for US only):	BAWOLEK, Edward, J. [US/US]; 2200 W. Sagebrush Court, Chandler, AZ 85224 (US). BOOTH, Lawrence, A., Jr. [US/US]; 15230 South 17th Avenue, Phoenix, AZ 85226 (US). CORUM, Curt [US/US]; 1570 W. Maggio Way, Chandler, AZ 85224 (US). KUHN, William, P. [US/US]; 9181 E. Ocotillo Drive, Tucson, AZ 85749 (US).	
(74) Agents:	MILLIKEN, Darren, J. et al.; Blakely, Sokoloff, Taylor & Zafman LLP, 7th floor, 12400 Wilshire Boulevard, Los Angeles, CA 90025 (US).	

(54) Title: METHOD FOR IMAGER DEVICE COLOR CALIBRATION UTILIZING LIGHT-EMITTING DIODES OR OTHER SPECTRAL LIGHT SOURCES



## (57) Abstract

A method and apparatus for color calibrating an imager device is disclosed. The imager device (18) is subjected to a plurality of light sources (14). Color channel responses are obtained from the imager device and the color calibrating coefficients are determined.

I D S

## Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

# METHOD FOR IMAGER DEVICE COLOR CALIBRATION UTILIZING LIGHT-EMITTING DIODES OR OTHER SPECTRAL LIGHT SOURCES

## BACKGROUND OF THE INVENTION

### (1) Field of the Invention

The present invention is generally related to the verification and calibration of color as well as corrective adjustments for an imaging device.

### (2) Background Information

Color is basically what the human visual system perceives on receiving various wavelengths of light that have reflected off objects. This color recognition is also known as the spectral sensitivity of the human visual system. To express the perceived colors numerically, many methods have been developed of which one of them is the XYZ tristimulus values as developed by an international organization known as "Commission Internationale de l'Eclairge" (CIE). The XYZ tristimulus values are based on the theory that the human visual system possesses receptors for three primary colors red, green, and blue and that all the colors perceived are mixtures of these three primary colors.

Figure 1 illustrates the spectral sensitivity corresponding to the human visual system in terms of XYZ tristimulus values. Ideally, if imager device response channels were to exactly duplicate the XYZ tristimulus values, in theory, that imager device could be capable of exactly duplicating the colors seen by the visual system. However, due to the complexities involved in producing such an imager device, it is not practical to exactly duplicate the XYZ tristimulus values.

Figure 2 illustrates an exemplary red, green and blue response of an imager device. It is desirable to transform the response to be as closely correlating as possible to the XYZ tristimulus values so that the imager device may channel outputs that closely correspond to the color seen by the human visual system. This is the function of color calibration that is performed on the imager device.

The function of the color calibration is to find a color calibrating matrix (e.g., a 3x3 matrix) that brings the response of the image sensor as close as possible (i.e., least squares error) to that of the XYZ tristimulus values. An exemplary method of determining the color calibrating 3x3 matrix is to take several reflective color targets of known XYZ tristimulus values such as the Macbeth Colorchecker® targets available from Macbeth/Kollmorgen Instruments Corporation in New Windsor, New York, that represent twenty-four colors and generally depict the colors in various regions of the color space. By taking the corresponding red, green and blue (RGB) values generated by the image device, a calibrating matrix that closely represents the XYZ tristimulus values of the targets is found. Mathematically, the transformation may be represented as follows:

Using the imager device to be calibrated, twenty-four color targets are read by the imager which generates the corresponding RGB values. Note that each X Y Z tristimulus values for the color targets are known. The measured RGB values are loaded into a measured data matrix (MEAS), an example being:

Target 0	R0	G0	B0
Target 1	R1	G1	B1
Target 2	R2	G2	B2
Target 3	R3	G3	B3
:	:	:	:
Target 22	R22	G22	B22
Target 23	R23	G23	B23

The relationship between the RGB values and the XYZ tristimulus values can be represented by the equation:

$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix} = [3 \times 3] \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

The 3x3 color calibrating matrix can be further specified as:

$$[3 \times 3] = \begin{bmatrix} M_{11} & M_{12} & M_{13} \\ M_{21} & M_{22} & M_{23} \\ M_{31} & M_{32} & M_{33} \end{bmatrix}$$

Where  $M_{11}, \dots, M_{33}$  are the color calibrating coefficients of the color calibrating matrix.

Thus, the color calibrating coefficients are computed as follows:

$$\begin{bmatrix} M_{11} \\ M_{12} \\ M_{13} \end{bmatrix} = (MEAS^T \cdot MEAS)^{-1} \cdot MEAS^T \begin{bmatrix} X_0 \\ X_1 \\ \vdots \\ X_{23} \end{bmatrix}$$

$$\begin{bmatrix} M_{21} \\ M_{22} \\ M_{23} \end{bmatrix} = (MEAS^T \cdot MEAS)^{-1} \cdot MEAS^T \begin{bmatrix} Y_0 \\ Y_1 \\ \vdots \\ Y_{23} \end{bmatrix}$$

$$\begin{bmatrix} M_{31} \\ M_{32} \\ M_{33} \end{bmatrix} = (MEAS^T \cdot MEAS)^{-1} \cdot MEAS^T \begin{bmatrix} Z_0 \\ Z_1 \\ \vdots \\ Z_{23} \end{bmatrix}$$

In the above expression,  $MEAS^T$  refers to the transpose of  $MEAS$  matrix.

$(\cdot)^{-1}$  denotes an inverse. Further,  $X_n, Y_n, Z_n$  are XYZ tristimulus values of the respective targets n.

From above, the color calibrating coefficients are selected to provide the minimized least squares error that corresponds to the best fit for mapping the RGB values of the imager device into the XYZ tristimulus values of the color targets. It may not be immediately apparent why the coefficients obtained through this method would provide the least squares error and further discussion can be found in Box, Hunter and Hunter,

"Statistics for Experimenters" (John Wiley and Sons, New York, 1978) at page 498-502. It is desirable that the coefficient values be calculated and stored with a minimum of three significant digits of accuracy. Note that as long as the proper luminance is provided, the magnitudes of the coefficients are not important but only the ratios between the coefficients. Thus, the matrices:

$$M = \begin{pmatrix} 16.645 & 7.013 & 1.253 \\ 6.997 & 17.706 & -1.881 \\ 0.386 & -4.826 & 23.327 \end{pmatrix} \quad \text{and} \quad M = \begin{pmatrix} 33.29 & 14.026 & 2.506 \\ 13.994 & 35.411 & -3.762 \\ 0.772 & -9.652 & 46.655 \end{pmatrix}$$

are equivalent in terms of their color calibrating accuracy.

While the method is fundamentally correct in its approach, it is cumbersome to implement in high volume production. For example, multiple color targets, typically twenty-four, are required to accumulate the tested imager device's response to the Macbeth Colorchecker®. In other words, twenty-four color targets are imaged sequentially for each imager device being calibrated. This technique requires substantial amount of time which hinders the flow of production thereby increasing the production cost. Generally, each imager device produced during manufacture is presumed to have its own color calibrating matrix corresponding to the variation in RGB responses and thus, each imager device is calibrated individually. In addition, because the targets are changed frequently during calibration, the targets are subject to possible contamination during handling which results in an inaccurate calibration. Further, the targets may fade with constant exposure to light requiring special storage during non-use and frequent change out. Additionally, because the color of the reflective color targets varies with the illuminating light, a reference light source corresponding to CIE D65 illumination is provided that needs to be constantly checked for color temperature and intensity. Furthermore, in using color targets, a fairly large production area must be allocated so that an appropriate target distant relationship exists with the image sensor under

calibration. Therefore, what is needed is a method and apparatus for producing the color calibration coefficients or color calibrating matrix without the difficulties associated with the use of reflective color targets.

#### BRIEF SUMMARY OF THE INVENTION

A method and apparatus for color calibrating an imager device is disclosed. The imager device is subjected to a plurality of light sources. Color channel responses are obtained from the imager device and the color calibrating coefficients are determined.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The objects, features and advantage of the method and apparatus for the present invention will be apparent from the following description in which:

**Figure 1** illustrates the spectral sensitivity corresponding to the human eye in terms of XYZ tristimulus values;

**Figure 2** illustrates an example of a red, blue and green (RGB) response of an imager device;

**Figure 3** depicts an exemplary embodiment of the present invention illustrating the calibration instrument;

**Figure 4** illustrates an exemplary embodiment of the present invention;

**Figure 5** illustrates an exemplary embodiment of the present invention that uses statistical correlation;

**Figure 6** illustrates an exemplary table used for correlating the imager devices' responses;

**Figure 7** illustrates an exemplary embodiment of the present invention that uses sets of weighting factors; and

**Figure 8** illustrates another exemplary embodiment of the present invention using sets of weighting factors.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention uses a set of light emitting diodes (LEDs) or other light sources to provide a stimuli for the imager device to produce a color calibration matrix. In so providing, many of the disadvantages of using reflective color targets are overcome. It will be appreciated that one characteristic feature of LEDs is that LEDs have a high degree of light output stability. It will also be appreciated that the light sources will be understood to mean originating light sources. It will further be appreciated that the present invention can be practiced with both CMOS and CCD devices as well as other imager devices. It will further be appreciated that while the exemplary embodiment is described in terms of red, green and blue (RGB) response, it should by no means be construed as a limitation. Other color systems are equally applicable, for example, cyan magenta yellow (CMY) color system and cyan, magenta, yellow, green (CMYG) color system among others. Accordingly, the responses from the various color systems will be collectively referred to as color channel responses. Furthermore, it will be appreciated that while the exemplary embodiment is described in terms of 3x3 matrix, other matrices may be used, for example, 3xn matrix or mxn matrix, where m, n are integers, depending on the nature of the color calibration.

Figure 3 illustrates an exemplary embodiment of the invention. The calibration instrument 10 comprises a chamber 12 with an aperture 16 to allow an imager device 18 to be calibrated to have access to the interior of the chamber 12. Within the chamber 12 are incorporated a plurality of LEDs or other light sources 14 that provide the stimuli for the imager device 18. The LEDs or other light sources 14 may be controlled by a computer 20 according to the exemplary methods to be described. The computer 20 may further receive RGB outputs from the imager device 18 and using those output values, run a color calibration program to produce a 3x3 color calibrating matrix for the imager device 18. The produced 3x3 color calibrating matrix may be stored in a memory device such as read only memory (ROM) within the imager device 18 and is subsequently used to map the read RGB values into corresponding XYZ tristimulus values. By

using the calibration instrument 10 to calibrate the various imager devices, reflective color targets are no longer needed. Specifically, by using the LEDs or other light sources 14, the same calibration result can be obtained as would have been with the color targets. Generally, five LEDs are used although more than five LEDs or as low as three LEDs may be used depending on the color region to be covered. In using five LEDs, peak emission wavelengths at 430, 470, 545, 590 and 660 nm are used to provide the stimuli that gives the result as would be obtained by means of calibration with reflective color targets corresponding to the Macbeth Colorchecker® targets. However, it should be noted that other peak wavelengths may be used depending on the particular desired results to be achieved. In this instance, the particular peak wavelengths have been chosen to meet the wavelengths of LEDs that are commercially available while also providing strong correlation with the color coefficients of the color calibrating matrix. The following lists diode center wavelengths (in nm) and manufacturer's names:

- 430nm Cree Research, Durham, N.C.
- 450nm Nichia America Corp., Mountville, PA
- 470nm Micro Electronics Corp., Santa Clara, CA
- 481nm Hewlett-Packard, Palo Alto, CA
- 544nm Toshiba American Electronics Components, Inc., Irvine, CA.
- 562nm Toshiba American Electronics Components, Inc., Irvine, CA.
- 590nm Toshiba American Electronics Components, Inc., Irvine, CA.
- 592nm Hewlett-Packard, Palo Alto, CA
- 612nm Toshiba American Electronics Components, Inc., Irvine, CA.
- 615nm Hewlett-Packard, Palo Alto, CA
- 637nm Hewlett-Packard, Palo Alto, CA
- 644nm Hewlett-Packard, Palo Alto, CA, Toshiba American Electronics Components, Inc., Irvine, CA.
- 660nm Toshiba American Electronics Components, Inc., Irvine, CA.

It should be noted that better correlation with the coefficients of the color calibrating matrix may be obtained by using LEDs with band spreads that have wide-bands (i.e., peak wavelength  $\pm 50\text{nm}$ ) as opposed to LEDs with narrow-bands (i.e., wavelength  $\pm 5\text{nm}$ ).

As to the remaining figures, various exemplary methods for producing 3x3 color calibrating matrix will now be described in terms of a five LED set, which should not be construed as limitations.

**Figure 4** illustrates an exemplary embodiment of the present invention. In this example, the color calibration to produce the 3x3 color calibrating matrix is directly applied to the RGB values produced by the five LEDs. By applying directly, there is a presumption that the lights of the LEDs are sufficient to define the colors in the various regions of the color space. Therefore, the embodiment does not calibrate by correlating with the Macbeth Colorchecker® targets and may be sufficient to provide adequate color accuracy, depending upon the calibration requirements. The method is as follows:

Block 41 illustrates the steps of determining the XYZ tristimulus values of the LEDs. This may be done through the use of a spectrophotometer. Let the five LEDs be represented by:

$X_{D1}, Y_{D1}, Z_{D1}$  is the XYZ Tristimulus Value for LED#1

$X_{D2}, Y_{D2}, Z_{D2}$  is the XYZ Tristimulus Value for LED#2

$X_{D3}, Y_{D3}, Z_{D3}$  is the XYZ Tristimulus Value for LED#3

$X_{D4}, Y_{D4}, Z_{D4}$  is the XYZ Tristimulus Value for LED#4

$X_{D5}, Y_{D5}, Z_{D5}$  is the XYZ Tristimulus Value for LED#5

Block 42 illustrates the imager device to be calibrated being illuminated by the five LEDs sequentially and the RGB responses recorded. Let the RGB responses recorded be:

$R_{D1}, G_{D1}, B_{D1}$  is the imager RGB response to LED#1

$R_{D2}, G_{D2}, B_{D2}$  is the imager RGB response to LED#2

$R_{D3}, G_{D3}, B_{D3}$  is the imager RGB response to LED#3

$R_{D4}, G_{D4}, B_{D4}$  is the imager RGB response to LED#4

$R_{D5}, G_{D5}, B_{D5}$  is the imager RGB response to LED#5

Block 43 illustrates the recorded RGB responses being loaded into a MEAS matrix:

$$MEAS = \begin{bmatrix} R_{D1} & G_{D1} & B_{D1} \\ R_{D2} & G_{D2} & B_{D2} \\ R_{D3} & G_{D3} & B_{D3} \\ R_{D4} & G_{D4} & B_{D4} \\ R_{D5} & G_{D5} & B_{D5} \end{bmatrix}$$

Block 44 illustrates the color calibrating coefficients (i.e.  $M_{11}, \dots, M_{33}$ ) of the 3x3 matrix are determined by:

$$\begin{bmatrix} M_{11} \\ M_{12} \\ M_{13} \end{bmatrix} = (MEAS^T \cdot MEAS)^{-1} \cdot MEAS^T \begin{bmatrix} X_{D1} \\ X_{D2} \\ \vdots \\ X_{D5} \end{bmatrix}$$

$$\begin{bmatrix} M_{21} \\ M_{22} \\ M_{23} \end{bmatrix} = (MEAS^T \cdot MEAS)^{-1} \cdot MEAS^T \begin{bmatrix} Y_{D1} \\ Y_{D2} \\ \vdots \\ Y_{D5} \end{bmatrix}$$

$$\begin{bmatrix} M_{31} \\ M_{32} \\ M_{33} \end{bmatrix} = (MEAS^T \cdot MEAS)^{-1} \cdot MEAS^T \begin{bmatrix} Z_{D1} \\ Z_{D2} \\ \vdots \\ Z_{D5} \end{bmatrix}$$

Figure 5 illustrates another exemplary embodiment of the present invention. This example involves statistical correlation. To aid in the

understanding of this exemplary method, Figure 6 will be used. Figure 6 illustrates a table 60 showing a plurality of tested imager devices' responses 61 to the twenty-four reflective color targets of the Macbeth Colorchecker®, which are defined by the color calibrating coefficients of the 3x3 matrix 63 being plotted with the corresponding imager devices' RGB responses to the five LEDs 65. Once sufficient imager devices are calibrated, the accumulated data is then used to determine the statistical correlation between the results from the five LEDs and coefficients of the 3x3 matrix. Figure 5 will now illustrate the procedure in more detail. Block 51 illustrates an imager device to be calibrated being exposed to twenty-four reflective color targets corresponding to the Macbeth Colorchecker®. However, other color targets may be used provided the XYZ tristimulus values of the targets are known. Further, the number of targets may be varied according to a desired result. Block 52 illustrates a 3x3 color calibrating matrix being constructed from the read RGB values of the twenty-four targets. This procedure has been previously described in the Background Information section above. Let the 3x3 matrix resulting from the color calibration using the color targets be represented by:

$$M_{3 \times 3} = \begin{matrix} M_{11} & M_{12} & M_{13} \\ M_{21} & M_{22} & M_{23} \\ M_{31} & M_{32} & M_{33} \end{matrix}$$

Where  $M_{11}, \dots, M_{33}$  are the color calibrating coefficients of the color calibrating matrix.

Block 53 illustrates the same imager device being stimulated by a series of five LEDs and the RGB responses for the five LEDs recorded. The imager device under test is illuminated sequentially by all five LEDs and a total of fifteen responses are recorded. Taking the five LEDs employed to be LED#1 - LED#5, let  $R_{D1}$  represent the imager's red channel response to LED#1. Similarly, let  $G_{D1}$  represent the imager's green channel response to LED#1 and so forth. The imager device responses can be represented as:

$R_{D1}, G_{D1}, B_{D1}$  is the imager RGB response to LED#1

$R_{D2}, G_{D2}, B_{D2}$  is the imager RGB response to LED#2

$R_{D3}, G_{D3}, B_{D3}$  is the imager RGB response to LED#3

$R_{D4}, G_{D4}, B_{D4}$  is the imager RGB response to LED#4

$R_{D5}, G_{D5}, B_{D5}$  is the imager RGB response to LED#5

Block 54 illustrates blocks 51 to 53 being repeated until a desired number of imager devices have been calibrated. From the combined accumulated data, a table such as the one illustrated in Figure 6 may be constructed. Blocks 55 to 56 illustrate the steps that once enough data has been accumulated, polynomial regression may be used to determine the correlation between the results of the color targets and the LEDs.

Polynomial regression is based on the theory that through the use of simultaneous equations, a correlation between measured variable responses can be approximated. Polynomial regression is well known in linear algebra, however, further discussion of the polynomial regression method in the context of imager devices may be found in Henry R. Kang, "Color Technology for Electronic Imaging Devices" (SPIE Optical Engineering Press) at pages 55-62. In summary, using data obtained from multiple imager devices, statistical regression is used to find the correlation between the imager devices' responses to LEDs and the coefficients of the 3x3 matrix obtained from of the same imager device using color targets. The net result is a set of equations of the form:

$$M_{11} = P_0 + P_1 * R_{D1} + P_2 * G_{D1} + P_3 * B_{D1} + P_4 * R_{D2} + P_5 * G_{D2} + P_6 * B_{D2} + P_7 * R_{D3} \\ + P_8 * G_{D3} + P_9 * B_{D3} + P_{10} * R_{D4} + P_{11} * G_{D4} + P_{12} * B_{D4} + P_{13} * R_{D5} + P_{14} * G_{D5} + P_{15} * B_{D5}$$

where the values  $P_0, \dots, P_{15}$  represent statistically determined correlation coefficients. The correlation coefficients may be determined through well known statistical methods or alternatively, by using a statistics analysis program such as JMP commercially available from SAS Institute, Inc., Cary, NC. In any event, a different set of correlation coefficients must

be determined for each color coefficient of the color calibration matrix (i.e. M<sub>11</sub>, M<sub>12</sub>...M<sub>33</sub>) which is represented by blocks 57 to 58. Block 59 illustrates that once the set of equations correlating the coefficients of the 3x3 matrix and the imager device response to five LEDs is obtained, the color targets are no longer necessary and the set of equations is used for subsequent color calibration of the imager devices. The advantage of this approach is that the color calibration coefficients are determined through indirect comparison with a "golden standard" without the continuing use of the Macbeth Colorchecker®.

Figure 7 illustrates another embodiment of the present invention. This example involves the simulation of colors of the Macbeth Colorchecker® through a combination of LEDs lighted simultaneously. In other words, by using the LED lights as the basis, a combination of the LED lights powered simultaneously according to sets of weighting factors give the same color characteristics as the Macbeth Colorchecker® targets under standard CIE D65 illumination. The simulated colors are presented one at a time to the imager device and the associated RGB responses are recorded. The color calibration is then performed in a similar manner as that performed when using color targets. This color calibration procedure has been described in the Background Information section above. Figure 7 illustrates the exemplary method in more detail. Block 71 illustrates the step of determining the XYZ tristimulus values of the LEDs. This may be done through the use of a spectrophotometer. Let the five LEDs be represented as:

X<sub>D1</sub>, Y<sub>D1</sub>, Z<sub>D1</sub> is the XYZ Tristimulus Value for LED#1  
X<sub>D2</sub>, Y<sub>D2</sub>, Z<sub>D2</sub> is the XYZ Tristimulus Value for LED#2  
X<sub>D3</sub>, Y<sub>D3</sub>, Z<sub>D3</sub> is the XYZ Tristimulus Value for LED#3  
X<sub>D4</sub>, Y<sub>D4</sub>, Z<sub>D4</sub> is the XYZ Tristimulus Value for LED#4  
X<sub>D5</sub>, Y<sub>D5</sub>, Z<sub>D5</sub> is the XYZ Tristimulus Value for LED#5

Block 72 illustrates the step of determining the XYZ tristimulus values of the Macbeth colors to be simulated that are represented as:

$X_{Mac1}, Y_{Mac1}, Z_{Mac1}$  is the XYZ Tristimulus Value for Macbeth Color#1  
 $X_{Mac2}, Y_{Mac2}, Z_{Mac2}$  is the XYZ Tristimulus Value for Macbeth Color#2

⋮  
⋮

$X_{Mac24}, Y_{Mac24}, Z_{Mac24}$  is the XYZ Tristimulus Value for Macbeth Color#24

Block 73 illustrates the step of determining a set of weighting factors that is applied to the LEDs to allow simulation of the Macbeth color. The relationship can be expressed as:

$$\begin{bmatrix} X_{Mac1} \\ Y_{Mac1} \\ Z_{Mac1} \end{bmatrix} = \begin{bmatrix} X_{D1} & X_{D2} & X_{D3} & X_{D4} & X_{D5} \\ Y_{D1} & Y_{D2} & Y_{D3} & Y_{D4} & Y_{D5} \\ Z_{D1} & Z_{D2} & Z_{D3} & Z_{D4} & Z_{D5} \end{bmatrix} \begin{bmatrix} f_{1,1} \\ f_{1,2} \\ f_{1,3} \\ f_{1,4} \\ f_{1,5} \end{bmatrix}$$

Where  $(f_{1,1}, \dots, f_{1,5})$  is the set of weighing factors.

The above relationship can be rewritten as:

$$\begin{bmatrix} X_{Mac1} \\ Y_{Mac1} \\ Z_{Mac1} \end{bmatrix} = [M_{LED}] \begin{bmatrix} f_{1,1} \\ f_{1,2} \\ f_{1,3} \\ f_{1,4} \\ f_{1,5} \end{bmatrix} .$$

A similar expression can be written for each Macbeth color:

$$\begin{bmatrix} X_{Mac2} \\ Y_{Mac2} \\ Z_{Mac2} \end{bmatrix} = [M_{LED}] \begin{bmatrix} f_{2,1} \\ f_{2,2} \\ f_{2,3} \\ f_{2,4} \\ f_{2,5} \end{bmatrix} \text{ (etc.)}$$

In the above expressions, the first subscript on the weighting factor  $f$  refers to the Macbeth color being matched (i.e., 1-24). The second subscript refers to the LED (i.e., 1-5) associated with the weighting factor.

The above relationship can be rewritten to determine the set of weighting factors required for simulation as:

$$\begin{bmatrix} f_{1,1} \\ f_{1,2} \\ f_{1,3} \\ f_{1,4} \\ f_{1,5} \end{bmatrix} = ([M_{LED}]^T [M_{LED}])^{-1} [M_{LED}]^T \begin{bmatrix} X_{Mac1} \\ Y_{Mac1} \\ Z_{Mac1} \end{bmatrix}$$

Where  $[M_{LED}]^T$  is the transpose matrix of  $[M_{LED}]$ . The five LEDs selected should have the basis which can describe all of the colors of the Macbeth Colorchecker®. However, if this condition is not met exactly, substitute synthesizable colors may be used which are close approximations to the Macbeth colors. Alternately, different light sources could be selected to better span the required color space. In this instance, the five LEDs have peak wavelengths at 430, 470, 545, 590 and 660nm respectively.

Block 74 illustrates storing the obtained set of weight factors from above. Block 75 illustrates blocks 71 to 74 being repeated to find the set of weighting factors for each of the Macbeth colors to be simulated. Blocks 76 to 78 illustrate that once the twenty-four sets of weighting factors have been stored corresponding to the respective Macbeth colors, the five LEDs are simultaneously illuminated with the drive power in the proportions indicated by the weighting factors. An image of the color is captured by the tested imager device and the RGB responses recorded. In total, twenty-four

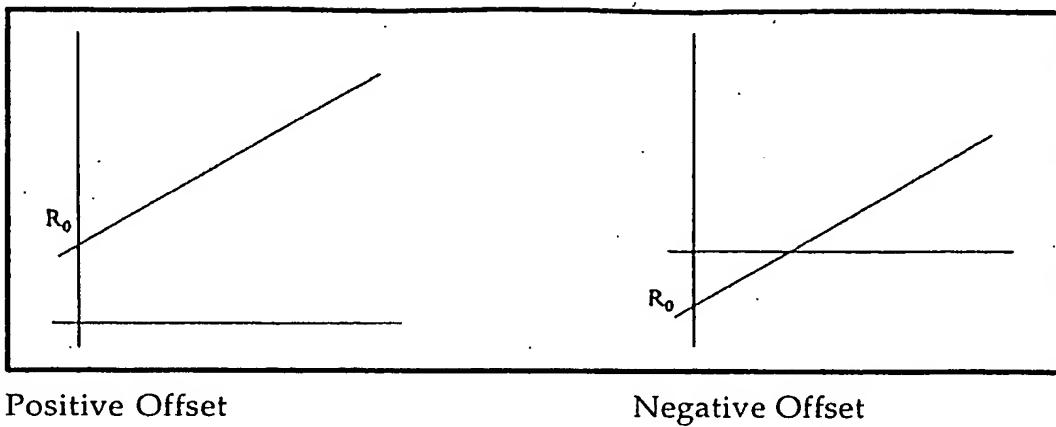
images are captured to accumulate the total system response to the twenty-four colors of the Macbeth Colorchecker®. Block 79 illustrates the responses are then used by the color calibration procedure which has been described in the background information to produce the 3x3 color calibrating matrix.

The advantage to this approach is that the equivalent Macbeth colors are directly synthesized and may therefore be directly measured by a spectrophotometer to determine the accuracy of the color rendition. This would provide a convenient method of verifying that the calibration instrument is itself in calibration (i.e., it provides a method of calibration traceability).

Figure 8 illustrates another embodiment of the present invention. In this exemplary method, rather than synthesizing the Macbeth colors with LEDs, a knowledge of the system responses to the LED stimulus is employed to determine and predict what the system response would be to the standard Macbeth colors. These predictions are then used to provide the response data required in the color calibration to produce the 3x3 color calibrating matrix. The method is premised on linearity of system response, and on the presumption that the LEDs have the basis that can describe all of the colors of the Macbeth Colorchecker®. If this presumption is not met exactly, substitute colors may be used.

Block 81 illustrates the step of determining the intercept of the tested imager device's RGB responses for zero input. This step is performed to determine the offsets of the imager device to be calibrated. The purpose is to allow more accurate linear interpolation of results. As an example, for an imager device with positive offsets, the correction for offset may be an essentially equivalent to a dark frame subtraction (i.e., offset corresponding to the imager device's response to the dark).

We will term these offsets  $R_0$ ,  $G_0$ ,  $B_0$ . For example:



Block 82 illustrates the step of illuminating the imager device with each of the five LEDs and recording the imager responses for each LED. Let the imager device responses to the LEDs be represented by:

$R_{D1}, G_{D1}, B_{D1}$  is the imager RGB response to LED#1

$R_{D2}, G_{D2}, B_{D2}$  is the imager RGB response to LED#2

$R_{D3}, G_{D3}, B_{D3}$  is the imager RGB response to LED#3

$R_{D4}, G_{D4}, B_{D4}$  is the imager RGB response to LED#4

$R_{D5}, G_{D5}, B_{D5}$  is the imager RGB response to LED#5

Block 83 illustrates storing the above imager device responses to the five LEDs.

Block 84 illustrates the step of computing the set of weighting factors associated with each of the twenty-four Macbeth colors. The procedure for determining the set of weighting factors has been described with respect to Figure 7.

Block 85 illustrates applying the computed sets of weighting factors to the imager device's RGB responses to the five LEDs to determine the equivalent Macbeth color response:

For example:

$$\begin{array}{lll}
 R_1 = f_{1.1}(R_{D1} - R_0) + R_0 & G_1 = f_{1.1}(G_{D1} - G_0) + G_0 & B_1 = f_{1.1}(B_{D1} - B_0) + B_0 \\
 + f_{1.2}(R_{D2} - R_0) + R_0 & + f_{1.2}(G_{D2} - G_0) + G_0 & + f_{1.2}(B_{D2} - B_0) + B_0 \\
 + f_{1.3}(R_{D3} - R_0) + R_0 & + f_{1.3}(G_{D3} - G_0) + G_0 & + f_{1.3}(B_{D3} - B_0) + B_0 \\
 + f_{1.4}(R_{D4} - R_0) + R_0 & + f_{1.4}(G_{D4} - G_0) + G_0 & + f_{1.4}(B_{D4} - B_0) + B_0 \\
 + f_{1.5}(R_{D5} - R_0) + R_0 & + f_{1.5}(G_{D5} - G_0) + G_0 & + f_{1.5}(B_{D5} - B_0) + B_0 \\
 \vdots & \vdots & \vdots
 \end{array}$$

$$\begin{array}{lll}
 R_{24} = f_{24.1}(R_{D1} - R_0) + R_0 & G_{24} = f_{24.1}(G_{D1} - G_0) + G_0 & B_{24} = f_{24.1}(B_{D1} - B_0) + B_0 \\
 + f_{24.2}(R_{D2} - R_0) + R_0 & + f_{24.2}(G_{D2} - G_0) + G_0 & + f_{24.2}(B_{D2} - B_0) + B_0 \\
 + f_{24.3}(R_{D3} - R_0) + R_0 & + f_{24.3}(G_{D3} - G_0) + G_0 & + f_{24.3}(B_{D3} - B_0) + B_0 \\
 + f_{24.4}(R_{D4} - R_0) + R_0 & + f_{24.4}(G_{D4} - G_0) + G_0 & + f_{24.4}(B_{D4} - B_0) + B_0 \\
 + f_{24.5}(R_{D5} - R_0) + R_0 & + f_{24.5}(G_{D5} - G_0) + G_0 & + f_{24.5}(B_{D5} - B_0) + B_0
 \end{array}$$

Block 86 illustrates the use the computed equivalent responses from above which is loaded into a MEAS table as described in the Background Information section above and the color calibration procedure performed to determine the 3x3 color calibration matrix.

This method only requires capturing five frames (i.e., one frame for each LED) and yet, is capable of determining the color calibration matrix as if the calibration had been performed using twenty-four reflective color targets.

It will however be evident that various modifications and changes can be made thereto without departing from the broader spirit and scope of the invention as set forth in the appended claims. The specification and drawings are, accordingly, to be regarded in an illustrative rather than a restrictive sense. Therefore, the scope of the invention should be limited only by the appended claims.

CLAIMS:

What is claimed is:

1. A method of color calibrating an imager device comprising:  
subjecting said imager device to a plurality of light sources;  
obtaining a first set of color channel responses from said imager  
device; and  
determining color calibrating coefficients for said imager device.
2. The method according to claim 1, wherein said color channel  
responses are red, green and blue (RGB) responses.
3. The method according to claim 1, wherein said color channel  
responses are cyan, magenta, yellow (CMY) responses.
4. The method according to claim 1, wherein said color channel  
responses are cyan, magenta, yellow, green (CMYG) responses
5. The method according to claim 1, wherein said imager device is  
subject to said plurality of light sources sequentially, obtaining said first set  
of color channel responses comprises obtaining color channel responses  
corresponding to each of said plurality of light sources.
6. The method according to claim 5, wherein said plurality of light  
sources are of known color values.
7. The method according to claim 6, wherein said known color values  
of said plurality of light sources are defined by XYZ tristimulus values,  
determining said color calibrating coefficients further comprises:  
forming a MEAS matrix from said first set of color channel responses;  
forming a first correlating matrix from said MEAS matrix;  
separating said known color values of said plurality of light sources  
into a vector of X components, a vector of Y components, and a vector of Z  
components;

determining a first vector of color calibrating coefficients by multiplying said first correlating matrix with said vector of X components;

determining a second vector of color calibrating coefficients by multiplying said first correlating matrix with said vector of Y components;

determining a third vector of color calibrating coefficients by multiplying said first correlating matrix with said vector of Z components; and

forming a color calibrating matrix from said first vector, second vector, and third vector of color calibrating coefficients.

8. The method according to claim 5, after obtaining said first set of color channel responses, the method further comprises:

subjecting said imager device to a plurality of reflective color targets of known colors;

obtaining a second set of color channel responses from said imager device corresponding to each of said plurality of color targets;

determining color calibrating coefficients from said second set of color channel responses;

repeating for a plurality of imager sensors, obtaining said first set of color channel responses, obtaining said second set of color channel responses, and determining color calibrating coefficients from said second set of color channel responses;

determining correlating coefficients correlating said first set of color channel responses to said color calibrating coefficients; and

forming a plurality of equations correlating said color calibrating coefficients to said first set of color channel responses using said correlating coefficients.

9. The method according to claim 8, further comprising:

determining said color calibrating coefficients using said plurality of equations;

forming a color calibrating matrix from said color calibrating coefficients.

10. The method according to claim 1, wherein said plurality of light sources are lighted simultaneously, and said plurality of light sources are of known color values.
11. The method according to claim 10, wherein subjecting at least one imager device to said plurality of light sources further comprises:
  - determining a color value of a color target to be simulated;
  - determining a set of weighting factors correlating said color values of said plurality of light sources to said color value of said color target to be simulated;
  - powering said plurality of light sources according to said set of weighting factors.
12. The method according to claim 11, further comprising:
  - determining a plurality of sets of weighting factors corresponding to a plurality of color targets to be simulated;
  - powering said plurality of light sources according to said sets of weighting factors corresponding to said plurality of color targets;
  - obtaining said first set of color channel responses from said imager device corresponding to said plurality of light sources powered according to said sets of weighting factors.
13. The method according to claim 10, wherein subjecting at least one imager device to said plurality of light sources further comprises:
  - determining a color value of a color target to be simulated;
  - determining a set of weighting factors correlating said color values of said plurality of light sources to said color value of said color target to be simulated;
  - subjecting said imager device to said plurality of light sources;
  - modifying an obtained color channel responses from said imager device according to said set of weighting factors.
14. The method according to claim 13, further comprising:

determining a plurality of sets of weighting factors corresponding to a plurality of color targets to be simulated;

forming said first set of color channel responses by modifying said obtained color channel responses from said imager device with said respective sets of weighting factors.

15. The method according to claim 10, wherein said known color values of said plurality of light sources are defined by XYZ tristimulus values, determining color calibrating coefficients from said first set of color channel responses further comprises:

forming a MEAS matrix from said first set of color channel responses;

forming a first correlating matrix from said MEAS matrix;

separating said known color values of said plurality of light sources into a vector of X components, a vector of Y components, and a vector of Z components;

determining a first vector of color calibrating coefficients by multiplying said first correlating matrix with said vector of X components;

determining a second vector of color calibrating coefficients by multiplying said first correlating matrix with said vector of Y components;

determining a third vector of color calibrating coefficients by multiplying said first correlating matrix with said vector of Z components; and

forming a color calibrating matrix from said first vector, second vector, and third vector of color calibrating coefficients.

16. An apparatus comprising:

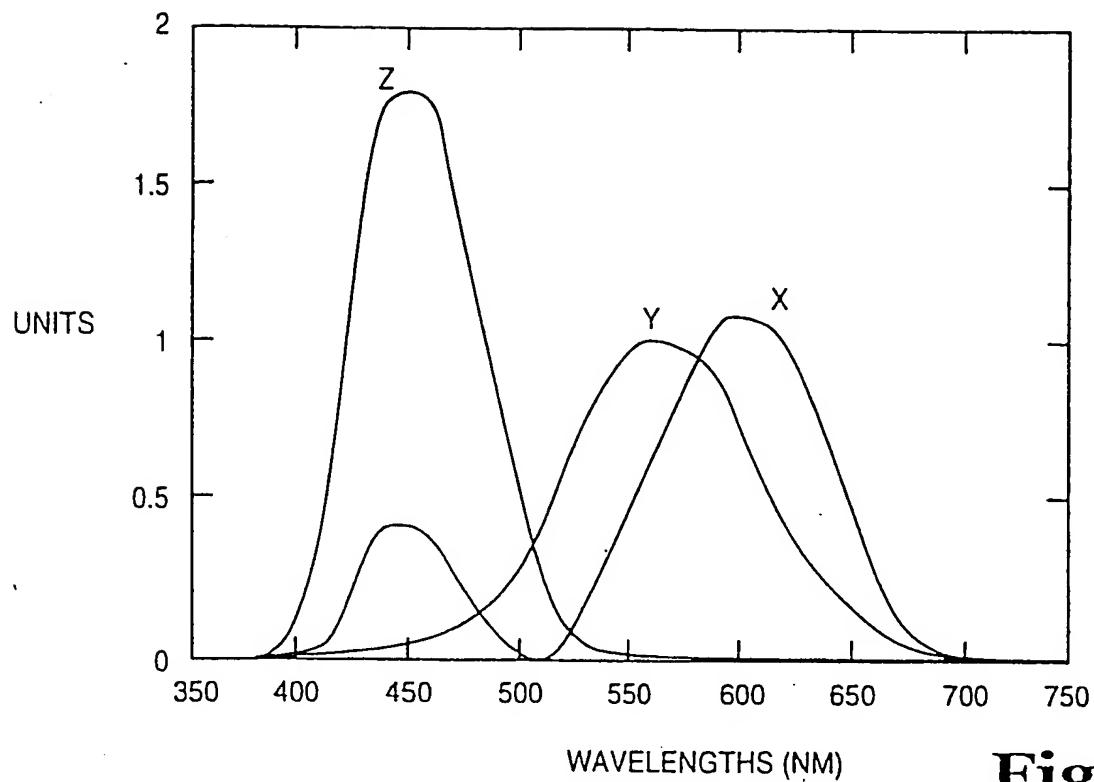
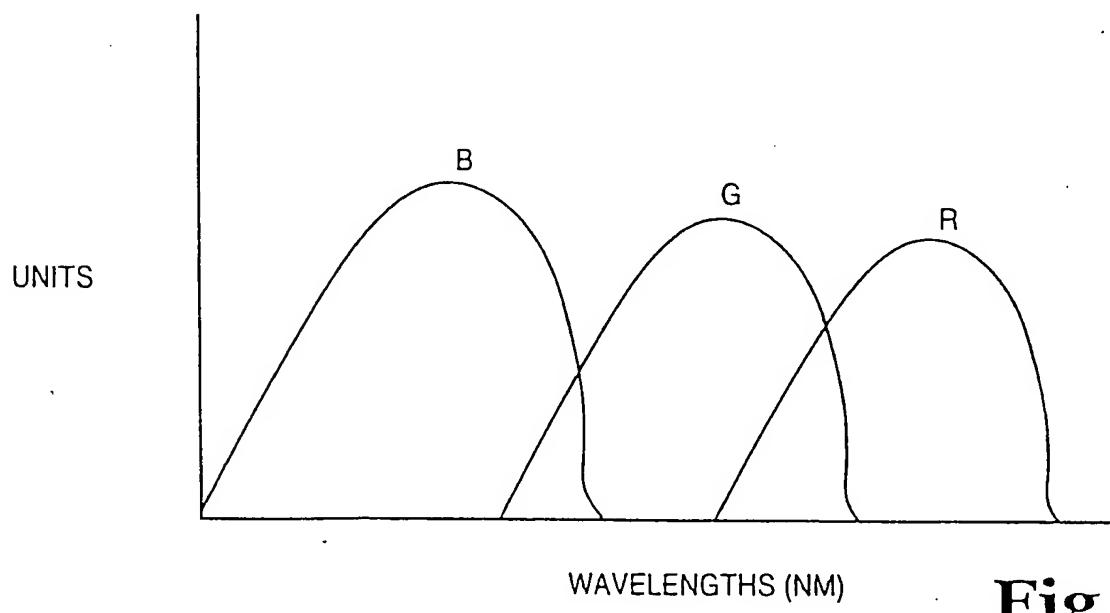
a chamber having an opening to receive an imager device and an inner surface; and

a plurality of light sources coupled to said inner surface of said chamber to stimulate said imager device.

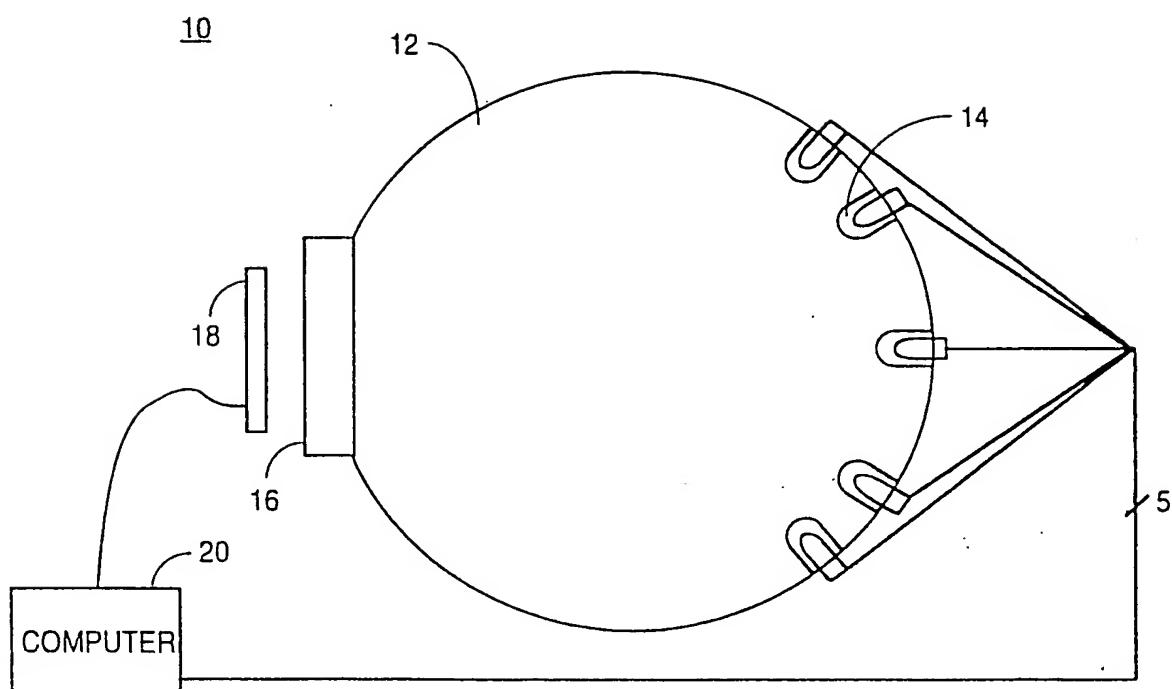
17. The apparatus in claim 16, where said plurality of light sources are light emitting diodes (LEDs).

18. The apparatus in claim 17, comprising five LEDs having the wavelengths 40nm, 470nm, 545nm, 590nm and 660nm respectively.
19. The apparatus in claim 18, wherein said plurality of light sources have band spreads of greater than 5nm.
20. The apparatus in claim 16, further comprising a computing device coupled to receive an output of said imager device.
21. The apparatus in claim 20, where said computing device is further coupled to said plurality of light sources.

1/7

**Fig. 1****Fig. 2**

2/7



**Fig. 3**

3/7

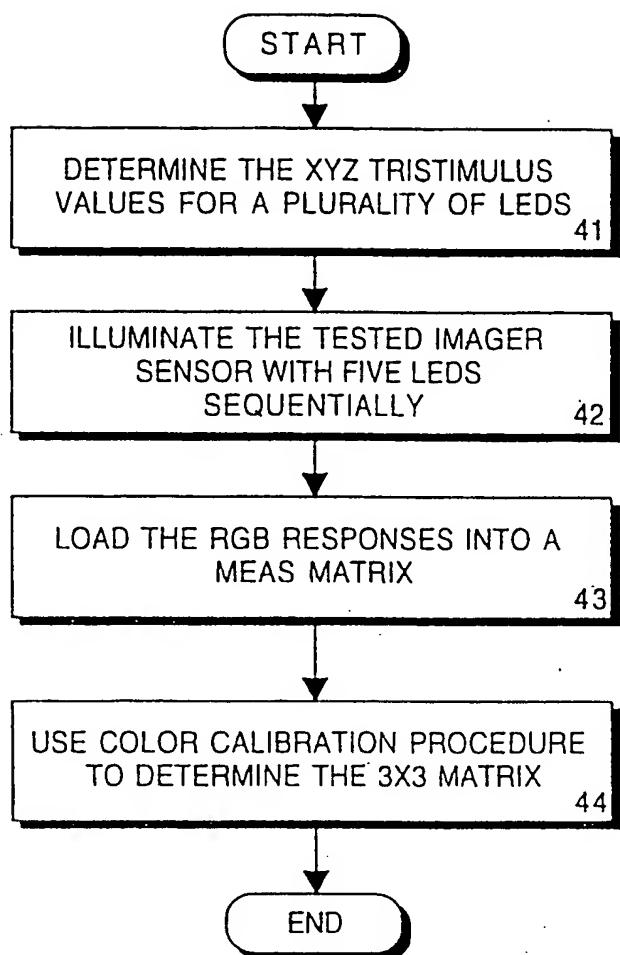


Fig. 4

4/7

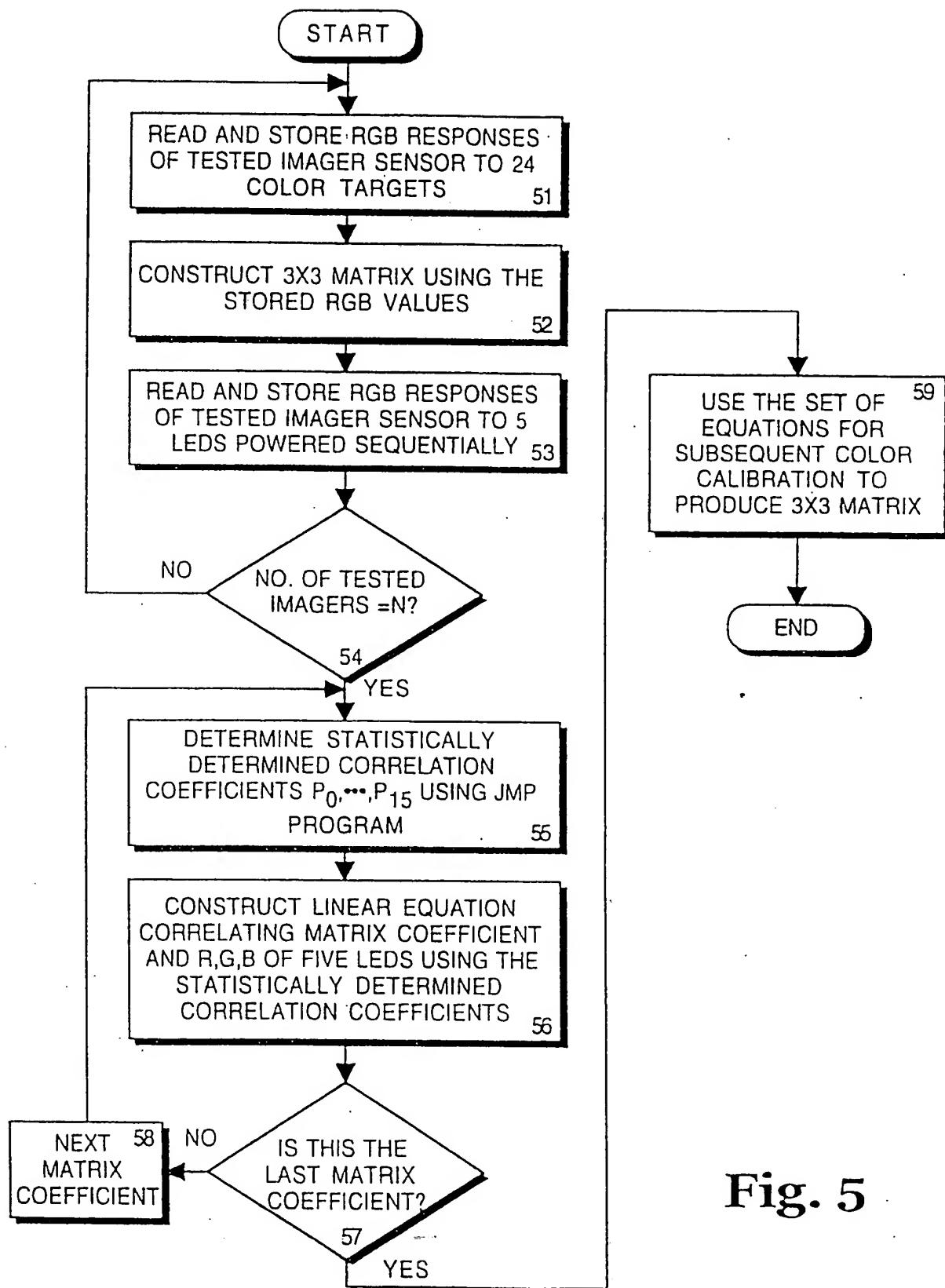


Fig. 5

60

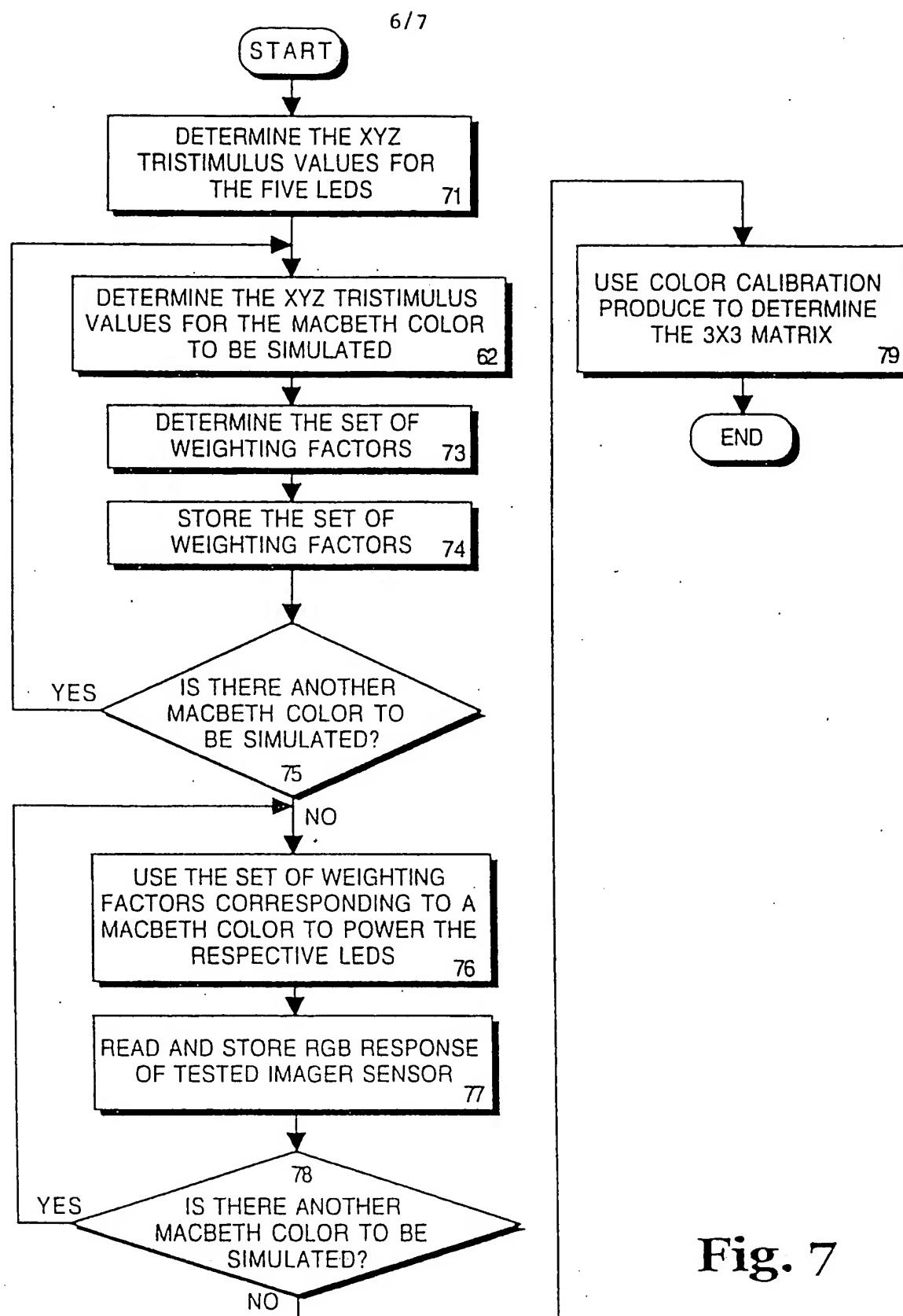
61

65

63

TESTED IMAGERS	LED RESPONSES										3X3 MATRIX COEFFICIENTS			
	R <sub>D1</sub>	...	R <sub>D5</sub>	G <sub>D1</sub>	...	G <sub>D5</sub>	B <sub>D1</sub>	...	B <sub>D5</sub>	M <sub>11</sub>	M <sub>12</sub>	...	M <sub>33</sub>	
1														
2														
3														
•														
•														
•														
n														

Fig. 6

**Fig. 7**

7/7

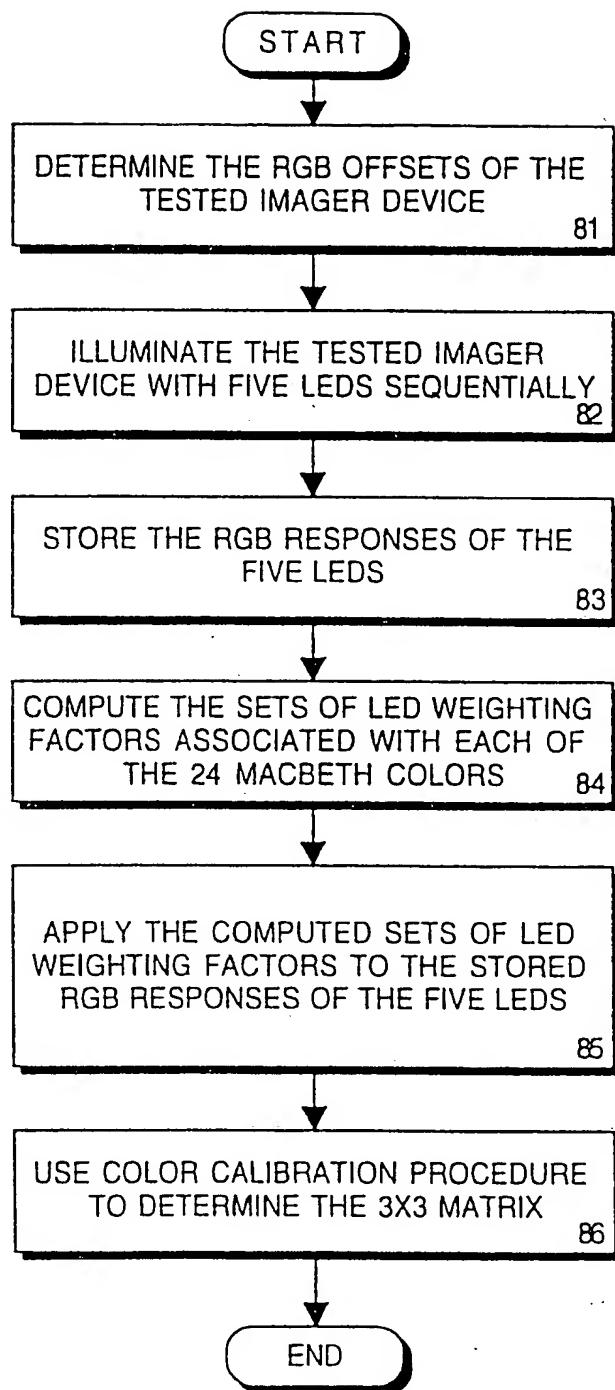


Fig. 8

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/13163

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : G06K 9/00

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 382/162,167; 358/504,516,518; 348/70,71,180,181,182,187,223,272,370

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,414,537 A (OMURO et al.) 09 May 1995, abstract; Fig.2, items 3-5.; Figures 7 and 8; col.5, lines 52-67; col.5, lines 1-7,13-16.	1-4,10

 Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

30 SEPTEMBER 1999

Date of mailing of the international search report

28 OCT 1999

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 306-5406

Authorized officer

LEO H. BOUREAU

Telephone No. (703) 305-4706 *James R. Matthews*

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US99/13163

**A. CLASSIFICATION OF SUBJECT MATTER:**

US CL :

382/162,167; 358/504,516,518; 348/70,71,180,181,182,187,223,272,370